

JDocket No.: 57454-093

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#3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atsushi FUKUMOTO, et al.

Serial No.: 09/843,165

Group Art Unit: 2818

Filed: April 27, 2001

Examiner: Unassigned

For: ELECTRICALLY PROGRAMMABLE AND ERASABLE NONVOLATILE
SEMICONDUCTOR MEMORY DEVICE AND OPERATION METHOD THEREFOR

**RESPONSE TO NOTICE
OF MISSING PARTS OF APPLICATION**

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated June 27, 2001, submitted
herewith are the following documents for filing in the above-referenced application:

1. Statutory Basic filing fee of \$950.00
2. Late Filing Fee Surcharge of \$130.00
3. Offer to Surrender; Reissue Application Declaration by The Assignee; Reissue
Application: Consent of Assignee; Statement of Non-Assignment; and Certificate
Under 37 CFR §3.73(b)

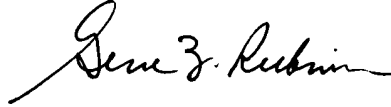
Please charge Deposit Account No. 500417 in the amount of \$1,080.00. To the extent
necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any

09/843,165

shortage in fees due in connection with the filing of this paper, including extension of time fees,
to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

A handwritten signature in cursive script, reading "Gene Z. Robinson".

Gene Z. Robinson
Registration No. 33,351

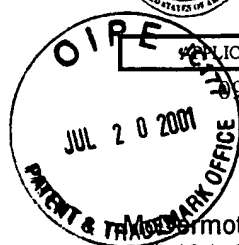
600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000 GZR:lnm
Date: July 20, 2001
Facsimile: (202) 756-8087

B



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,165	04/27/2001	Shinichi Kobayashi	57454-093

RECEIVED

JUL - 2 2001

McDermott, Will & Emery

CONFIRMATION NO. 2071

FORMALITIES LETTER



OC000000006231890

McDermott Will & Emery
600 13th Street NW
Washington, DC 20005

Date Mailed: 06/27/2001

NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - ~~\$240~~ for ~~3~~ independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ ~~1080~~ *1080*
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue application establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

Adjustment date: 08/24/2001 SSANDARA

A copy of this notice **MUST** be returned with the reply.

07/24/2001 BARRAHAI 00000052 500417 09843165

01 FC:101 710.00 CR

02 FC:102 240.00 CR

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/24/2001 SSANDARA 00000013 500417 09843165

01 FC:100

710.00 CH

02 FC:109

320.00 CH

07/24/2001 BARRAHAI 00000052 500417 09843165

Serial No. 09/843,165

Docket No.: 57454-093RI

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Reissue Application of

Shinichi Kobayashi et al.

Reissue application of Patent No.
5,898,606

Applications Branch

Filed: April 27, 2001

For: ELECTRICALLY PROGRAMMABLE AND ERASABLE NONVOLATILE
SEMICONDUCTOR MEMORY DEVICE AND OPERATING METHOD THEREFOR

OFFER TO SURRENDER

Box 7
Commissioner for Patents
Washington, DC 20231

Sir:

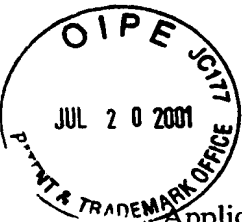
In accordance with the provisions of 37 CFR 1.178, the undersigned assignee of the accompanying reissue application for the reissue of Letters Patent Number 5,898,606 for ELECTRICALLY PROGRAMMABLE AND ERASABLE NONVOLATILE SEMICONDUCTOR MEMORY DEVICE AND OPERATING METHOD THEREFOR granted on April 27, 1999, to Shinichi Kobayashi, Yasushi Terada, Yoshikazu Miyawaki, Takeshi Nakayama, Tomoshi Futatsuya, Natsuo Ajika, Yuichi Kunori, Hiroshi Onoda, Atsushi Fukumoto and Makoto Ohi and assigned to Mitsubishi Denki Kabushiki Kaisha by assignment of the entire interest, hereby offers to surrender said Letters Patent.

Serial No. 09/843,165

Filed herewith is an order for a title report as required in reissue applications.

Date: July 11, 2001

By: *Yoshiaki Kuwana* (Yoshiaki KUWANO)
Title: General Manager, Patent Administration
Department of Mitsubishi Denki Kabushiki Kaisha



CERTIFICATE UNDER 37 CFR §3.73(b)

Applicant: Atsushi FUKUMOTO, et al.
Application No.: 08/841,372 Filed: April 30, 1997
For: ELECTRICALLY PROGRAMMABLE AND ERASABLE NONVOLATILE
SEMICONDUCTOR MEMORY DEVICE AND OPERATING METHOD THEREFOR
Mitsubishi Denki Kabushiki Kaisha a Japanese corporation
(Name of Assignee) (Type of Assignee)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 6548, Frame 0001, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: xxx
To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.
2. From: To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.
3. From: To:
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the name of the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date:

July 11, 2001

Name:

Yoshiaki KUWANO

Title:

General Manager, Patent Administration Department of
Mitsubishi Denki Kabushiki Kaisha

Signature

